

THE UNIVERSITY OF ALABAMA IN HUNTSVILLE

EXPORT CONTROL INFORMATION

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Division Vice President for Research and Economic Development - Office of Research Security (ORS)

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Purpose

Policy It is UAH's policy that all personnel, including employees, visiting scientists, postdoctoral fellows, students, and other persons retained by or working at or for UAH conduct their affairs in accordance with U.S. laws and regulations, including compliance with U.S. export control laws and regulations applicable to its operations. Violations of ITAR and EAR regulations are subject to criminal sanctions, including monetary fines or imprisonment of individuals.

The laws and regulations governing exports are detailed and complex. Employees with responsibility for UAH's export-control compliance program or whose duties include a significant amount of work with foreign nationals will be offered formal training sessions on the U.S. export-control laws and regulations and their applicability to their jobs. All UAH employees with managerial or supervisory authority over foreign nationals or projects involving materials or technology subject to export controls should view export-control compliance as an important part of their day-to-day responsibilities. It is particularly important in an institution like UAH that frequently works in tandem with researchers and collaborators from abroad to determine early in the process of any international collaboration if there is a potential requirement for export-control authorization.

UAH researchers engage in a broad range of innovative and important research, both in the United States and overseas. These activities include the sharing and development of products, goods, hardware, software, or materials (collectively, "items"), as well as research involving technology that may be subject to U.S. export control laws and regulations. The purpose of this document is to restate for the UAH community the essential aspects of the laws and regulations concerning exports, confirm our policy for compliance, and explain how the University will provide all researchers with the information needed to ensure compliance with these complicated laws.

In some instances, educational institutions are exempt from most of the regulations in situations involving fundamental research. In order for a sponsored

The CCL covers such things as materials, chemicals, microorganisms, and toxins; materials processing; electronics; computers; telecommunications and information security; lasers and sensors; navigation and avionics materials; marine-related materials; and propulsion systems, certain space vehicles, and

accepted restrictions for proprietary or national security reasons. Information that results from Fundamental Research is not subject to export control regulation pursuant to the Fundamental Research Exclusion of the EAR and ITAR. Research pursuant to an agreement (whether written or verba